Dated: November 10, 2003 Signature:

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Docket No.: SONYJP 3.0-145

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMAR

In re Patent Application of:

Keiji Yuzawa

Application No.: 09/805,600

Filed: March 13, 2001

For: DIGITAL SIGNAL RECEIVER AND DIGITAL

SIGNAL DISPLAY METHOD

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Group Art Unit

Examiner: Not Yet Assigned

RECEIVED

NOV 1 7 2003

Technology Center 2600

CERTIFICATION PURSUANT TO 37 C.F.R. § 1.97(E)(1)

Dear Sir:

Pursuant to 37 C.F.R. § 1.97(e) (1), undersigned counsel hereby certifies that each item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application to the above-referenced patent application not more than three months prior to the filing of said statement.

Dated: November 10, 2003

Respectful

Registration No.: 32,768

LERNER, DAVID, LITTENBERG,

KRUMHOLZ & MENTLIK, LLP

600 South Avenue West

Westfield, New Jersey 07090

(908) 654-5000

Attorney for Applicant

461260\_1.DOC

NOV 1 3 2003

PTO/SB/08a/b (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE pond to a collection of information unless it contains a valid OMB control number.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/B/PTO				Complete if Known	RECE	IVED			
				Application Number	09/805,600				
11	<b>IFORMATION</b>	l DI	SCLOSURE	Filing Date	March 13, 2001	NOV 1	2003		
STATEMENT BY APPLICANT (Use as many sheets as necessary)				First Named Inventor	Keiji Yuzawa				
				Art Unit	2611	Technology (	Center 2600		
				Examiner Name	Not Yet Assigned				
Sheet	1	of	1	Attorney Docket Number	SONYJP 3.0-145				

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> ( if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA**	US-5,990,927	11-23-1999	Hendricks et al.	l garant par

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	BA**	EP-0 827 336 A2	03-04-1998	Matsushita Electric Industrial Co., Ltd.		<b>V</b>	
	BB**	WO-99/62248	12-02-1999	Open TV, Inc.			
	BC**	WO-00/07361	02-10-2000	Morecom, Inc.			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \*\*CITE NO.: Those patent(s) or publication(s) which are marked with an double asterisk (\*\*) next to the Cite No. are not supplied because they were previously cited by or submitted to the Office in a prior application relied upon in this application for an earlier filing date under 35 U.S.C. 120. \*Applicant's unique citation designation number (optional). \*See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. \*Senter office that issued the document, by the two-letter code (WIPO Standard ST.3). \*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \*Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. \*Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS					
		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>		
	CA**	Hyoung Joong Kim et al., "A Model of GUI for Interactive TV", Tencon 99. Proceedings of the IEEE Region 10 Conference, September 15, 1999, pp. 1514-1517.	V		
	CB**	Milan Milenkovic, "Delivering Interactive Services to Home Using Digital Video Broadcast Infrastructure", Community Networking Proceedings 1997, Fourth International Workshop, September 11, 1997, pp. 133-142.	<b>√</b>		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \*\*CITE NO.: Those patent(s) or publication(s) which are marked with an double asterisk (\*\*) next to the Cite No. are not supplied because they were previously cited by or submitted to the Office in a prior application relied upon in this application for an earlier filing date under 35 U.S.C. 120.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

461820\_1.DOC

Examiner	Date	
Signature	 Considered	



I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1480, on the

date shown below.

Dated: November 10, 2003 Signature:

Docket No.: SONYJP 3.0-145

Examiner: Not Yet Assigned RECEIVED

Group Art Unit: 2631

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Keiji Yuzawa

Application No.: 09/805,600

Filed: March 13, 2001

For: DIGITAL SIGNAL RECEIVER AND DIGITAL

SIGNAL DISPLAY METHOD

Technology Center 2600

NOV 1 7 2003

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Dear Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. The cited references were listed on a search report issued in connection with applicant's corresponding European application. The European Search Report and a copy of each reference are enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: November 10, 2003

Respectfully submitted,

Robert B. Cohen

Registration No.: 32,768

LERNER, DAVID, LITTENBERG, KRUMHOLZ &

MENTLIK, LLP 600 South Avenue West Westfield, New Jersey 07090

(908) 654-5000

Attorney for Applicant

461254\_1.DOC